

# POLITICALLY EXPOSED PERSON (PEP) OR RELATIVE OR CLOSE ASSOCIATE (RCA) OF A PEP SUPPLEMENTARY FORM

We are obliged under AML legislation to identify PEP's & RCA's

**Proposal Number:**

Plan Owner 1

Plan Owner 2

Financial Adviser Name

An explanation of these terms is provided overleaf.

If you have answered Yes to this question, please tick the boxes overleaf

## 1. PEP\RCA Status

Are you or any of the Beneficiaries, Trustees, Settlers, Appointers or in the case of a Company Owner, Director, Beneficial Owner (or have been within the last 12 months), a PEP or RCA ? Yes  No

## 2. Role Details

For each person for whom you have answered yes to the above question, please complete the following

Role	First Name	Last Name	Address

Role Options : Beneficiary, Trustee, Settlor, Appointer, Company Owner, Director, Beneficial Owner

 Please sign and date

Signature of Financial Adviser   
Date (dd/mm/yyyy)

 Please sign and date

Plan Owner 1   
Date (dd/mm/yyyy)   
Please be aware for all signatures typed herein, you are electronically certifying this document, just as if you were physically signing on paper.

Please note that if you are signing on behalf of a company you should precede your signature with "for and on behalf of 'company name'..."

Plan Owner 2   
Date (dd/mm/yyyy)   
Please be aware for all signatures typed herein, you are electronically certifying this document, just as if you were physically signing on paper.

## Information Note

### Who is a Politically Exposed Person (PEP)?

A "politically exposed person" means any individual, who currently is, or has at any time in the past 12 months, been entrusted with a prominent public function and performs one of the following roles :

Please tick if appropriate

- (A) A member of a parliament/ member of a legislature or equivalent
- (B) A member of a Supreme Court, Constitutional Court or any other high level judicial body which passes non-appealable verdicts (except in exceptional circumstances)
- (C) A member of a court of auditors or the board of a central bank
- (D) An ambassador, charge d'affairs or high ranking officer in the armed forces
- (E) A member of the administrative, management or supervisory body of a state owned enterprise

### Who is a Relative ?

- (A) Any spouse of the Politically Exposed Person
- (B) Any person who is considered to be a common law spouse of the politically exposed person under law
- (C) A child of the politically exposed person
- (D) Any spouse of the child of a politically exposed person
- (E) Any parent of the politically exposed person
- (F) Any other family member of the politically exposed person who is of a prescribed class set out by the Department of Finance

### Who is close associate ?

- (A) Any individual who has a joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations with the politically exposed person
- (B) Any individual who has a sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the politically exposed person